

## Commodity Futures Trading Commission

## § 140.2

- 140.77 Delegation of authority to determine that applications for contract market designation are materially incomplete.
- 140.80 Disclosure of information pursuant to a subpoena or summons.
- 140.81 [Reserved]
- 140.91 Delegation of authority to the Director of the Division of Trading and Markets.
- 140.92 Delegation of authority to grant registrations and renewals thereof.
- 140.93 Delegation of authority to the Director of the Division of Trading and Markets.
- 140.95 Delegation of authority with respect to withdrawals from registration.
- 140.96 Delegation of authority to publish in the FEDERAL REGISTER.
- 140.97 Delegation of authority regarding requests for classification of positions as bona fide hedging.
- 140.98 Publication of no-action, interpretative and exemption letters and other written communications.
- 140.99 Requests for exemptive, no-action and interpretative letters.

### Subpart C—Regulation Concerning Conduct of Members and Employees and Former Members and Employees of the Commission

- 140.735-1 Authority and purpose.
- 140.735-2 Prohibited transactions.
- 140.735-2a Prohibited interests.
- 140.735-3 Non-governmental employment and other outside activity.
- 140.735-4 Receipt and disposition of foreign gifts and decorations.
- 140.735-5 Disclosure of information.
- 140.735-6 Practice by former members and employees of the Commission.
- 140.735-7 Statutory violations applicable to conduct of Commission members and employees.
- 140.735-8 Interpretative and advisory service.

AUTHORITY: 7 U.S.C. 4a and 12a.

### Subpart A—Organization

#### § 140.1 Headquarters office.

(a) *General.* The headquarters office of the Commission is located at Three Lafayette Centre, 1155 21st Street, NW., Washington, DC 20581.

(b) [Reserved]

[48 FR 2734, Jan. 21, 1983, as amended at 60 FR 49335, Sept. 25, 1995]

#### § 140.2 Regional offices—Regional directors.

Each of the Regional offices described herein functions as set forth below under the direction of a Regional Director, who is delegated authority and responsibility for the enforcement of the Act and administration of the programs of the Commission in the particular Region.

(a) The Eastern Regional Office is located at 1 World Trade Center, suite 3747, New York, New York 10048 and is responsible for enforcement of the act and administration of programs of the Commission in the States of Alabama, Connecticut, Delaware, Florida, Georgia, Kentucky, Maine, Maryland, Massachusetts, Mississippi, New Hampshire, New Jersey, New York, North Carolina, Pennsylvania, Rhode Island, South Carolina, Tennessee, Vermont, Virginia, and West Virginia.

(b) The Central Regional Office is located at 300 South Riverside Plaza, suite 1600 North, Chicago, Illinois 60606 and is responsible for enforcement of the act and administration of programs of the Commission in the States of Illinois, Indiana, Michigan, Ohio and Wisconsin.

(c) The Western Regional office is located at 10880 Wilshire Boulevard, Suite 1005, Los Angeles, California 90024 and is responsible for enforcement of the act and administration of programs of the Commission in the States of Alaska, Arizona, California, Hawaii, Idaho, Montana, Nevada, Oregon, Utah, Washington, and Wyoming.

(d) The Southwestern Regional office is located at 4900 Main Street, Suite 721, Kansas City, Missouri 64112, with a sub-office at Room 510, Grain Exchange Building, Fourth Street and Fourth Avenue, South, Minneapolis, Minnesota 55415, and is responsible for enforcement of the Act and administration of the programs of the Commission in the States of Arkansas, Colorado, Iowa, Kansas, Louisiana, Minnesota, Missouri, Nebraska, New Mexico, North Dakota, Oklahoma, South Dakota, and Texas.

[43 FR 21659, May 19, 1978, as amended at 48 FR 2734, Jan. 21, 1983; 49 FR 39534, Oct. 9, 1984; 54 FR 18099, Apr. 27, 1989; 57 FR 3722, Jan. 31, 1992; 57 FR 29203, July 1, 1992]